

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application Of:)
Kenneth Kiron and Kevin S. Bander)
Application No. 09/140,868) Group Art Unit: 2761
Filed: August 27, 1998) Examiner S. Tkacs
For: Open End Mutual Fund Securitization Process)

Assistant Commissioner For Patents
Washington, D.C. 20231

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I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on

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